

**DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION**

**PATENT
ATTORNEY DOCKET NO. 3212/3**

As a below named inventor, I hereby declare that:

My residence/post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD AND SYSTEM FOR EXCHANGE OF FINANCIAL INVESTMENT ADVICE

the specification of which is attached hereto unless the following box is checked:

() was filed on _____ as _____ or PCT International Application
Number _____ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understood the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose all information which is material to patentability as defined in 37 CFR 1.56.

Foreign Application(s) and/or Claim of Foreign Priority

I hereby claim foreign priority benefits under Title 35, United States Code Section 119 of any foreign application(s) for patent or inventor(s) certificate listed below and have also identified below any foreign application for patent or inventor(s) certificate having a filing date before that of the application on which priority is claimed:

COUNTRY	APPLICATION NUMBER	DATE FILED	PRIORITY CLAIMED UNDER 35 U.S.C. 119
			YES: _____ NO: _____
			YES: _____ NO: _____

Provisional Application

I hereby claim the benefit under Title 35, United States Code Section 119(e) of any United States provisional application(s) listed below:

APPLICATION SERIAL NUMBER	FILING DATE
60/158,094	10/07/99

U.S. Priority Claim

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

APPLICATION SERIAL NUMBER	FILING DATE	STATUS (patented/pending/abandoned)

POWER OF ATTORNEY:

As a named inventor, I hereby revoke all previously granted powers of attorney in the above-identified patent application and appoint the following attorneys and/or agent(s) to prosecute said patent application and to transact all business in the Patent and Trademark Office connected therewith:

Michael H. Baniak, Reg. No. 30,608

Frank C. Nicholas, Reg. No. 33,983

Michael K. Lindsey, Reg. No. 39,278

Send Correspondence to:

**Michael H. Baniak
BANIAK NICHOLAS PINE & GANNON
1603 Orrington Ave., Suite 2000
Evanston, IL 60201**

Direct Telephone Calls To:

**Michael H. Baniak
(847) 424-8420**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Inventor: Kerimcan Engin

Citizenship: USA

Residence: 3817 N. Lakewood Ave., Apt. 1, Chicago, IL 60613

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Kerimcan Engin

06/06/2000
Date

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Date June 6, 2000

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STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c)) -- SMALL BUSINESS CONCERN

Docket Number 3212/3

Applicant, Patentee, or Identifier: Kerimcan Engin and Robert C. Osborne

Application or Patent No.: Serial No.

Filed or Issued: filed

Title: METHOD AND SYSTEM FOR EXCHANGE OF FINANCIAL INVESTMENT ADVICE

I hereby state that I am (select one)

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN StrategyX.com

ADDRESS OF SMALL BUSINESS CONCERN 3817 N. Lakewood Ave., Apt. 1, Chicago, IL 60613

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, D.C. 20416.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in (select one):

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having rights in the invention is listed below:

- ☒ No such persons, concerns, or organizations exist.
☐ each such person, concern, or organization is listed below:

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

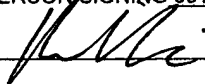
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING Kerimcan Engin

TITLE OF PERSON IF OTHER THAN OWNER Chief Executive Officer

ADDRESS OF PERSON SIGNING 3817 N. Lakewood Ave., Apt. 1, Chicago, IL 60613

SIGNATURE



DATE

06/06/2000

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